

LABOR COMPLIANCE PROGRAM ANNUAL REPORT

Format for Awarding Body that enforces its own Labor Compliance Program for some but not all projects

Report for the reporting period 07/01/2010 to 06/30/2011

1. Name of Labor Compliance Program (LCP): **Napa Valley Unified School District—RGM and Associates—Administering Third Party Labor Compliance Program.**

2. LCP I.D. Number (assigned by DIR): **2003.00060**

3. Date of Initial Approval: **March 18, 2003**

(Converted to "approved without expiration date" June 10, 2009)

4. Contact person (include name, title, address, telephone, fax, and e-mail, if available):

Ralph J. Caputo, President, CEO, RGM and Associates, 3230 Monument Way, Concord, California, 94518

Phone – (925) 671-7717 – Fax – (925) 671-7788 – E-mail – rgm@rgmassociates.com

5. List all Awarding Bodies covered by this report as well as any other Awarding Bodies with whom the LCP Third Party provider currently has a contract to provide compliance enforcement. If *none*, please proceed directly to item 7 and provide all requested information. Then complete the information below, and sign and submit this form to DIR, Office of the Director, Attn: LCP Special Assistant, 455 Golden Gate Avenue, 10th Floor, San Francisco, CA 94102.

Benicia Unified School District

Dublin Unified School District

Fort Ross Elementary School District

John Swett Unified School District

Lake Berryessa Resort Improvement District

Martinez Unified School District

Menlo Park City School District

Napa County Department of Public Works

Napa Valley Unified School District

Orinda Union School District

Pittsburg Unified School District

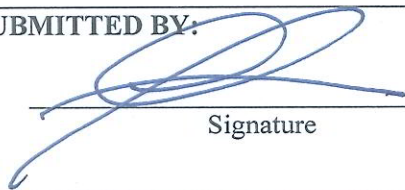
Sequoia Union High School District

Sonoma County Office of Education

Zone 7 Water Agency

What suggestions do you have for the Department of Industrial Relations to better assist you with your program in the coming year? (attach additional sheets if necessary): **NONE**

SUBMITTED BY:



Signature

Ralph J. Caputo, President, CEO

Name and Title

8-31-2011

Date

6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary).

A. List projects handled by LCP within the past 12 months.

Project Name	Bid Advertisement Date	Prime Contractor	Contract Amount
American Canyon High School	3/21/08	Lathrop Construction	\$ 28,708,799.00
New Tech High School	8/23/09	Sausal Corporation	\$ 7,914,100.00
Memorial Stadium Increment 2	9/29/09	Flintco	\$ 6,397,000.00
Memorial Stadium Increment 1	9/29/09	Southern Bleacher Company	\$ 1,275,000.00
Napa High School Frontage Improvements	3/6/11	Bay Cities Paving and Grading	\$ 2,272,000.00
Vintage High School Landscaping	4/26/11	Hess Construction	\$ 358,632.00
Napa High School Science	4/27/11	Swank Construction	\$ 1,849,000.00
TOTAL			\$ 48,774,531.00

B. Summary of all wages and penalties assessed and/or recovered.

Project Name	Affected Contractor (who directly employed the worker)	Amount Assessed	Amount Recovered	Approval of Forfeiture Requested from Labor Commissioner?	° □ □ription of Violation
American Canyon High School	Valley Crest	\$ 222.96	\$ 222.96	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Misclassification
American Canyon High School	Pacific Excavation	\$ 389.50	\$ 389.50	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Underpayment of wages
American Canyon High School	Bay Cities Paving & Grading	\$ 13.24	\$ 13.24	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Underpayment of wages
American Canyon High School	R.E. Maher Concrete	\$ 50.54	\$ 50.54	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Underpayment of wages
American Canyon High School	Crusader Fence Company	\$ 170.94	\$ 170.94	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Underpayment of wages
American Canyon High School	Western Water Features	\$ 22.57	\$ 22.57	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Underpayment of wages
American Canyon High School	AVD	\$ 9,022.85	\$ 9,022.85	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Underpayment of wages
American Canyon High School	New Generation Cabinet Installation	\$ 482.00	\$ 482.00	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Underpayment of wages
American Canyon High School	Wenger Contracting	\$ 210.86	\$ 210.86	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Underpayment of wages
American Canyon High School	DeRutte Company Builders	\$ 500.00	\$ 500.00	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Underpayment of wages
American Canyon High School	DA Wood Construction	\$ 95.12	\$ 95.12	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Underpayment of wages
American Canyon High School	AA Sign Image	\$ 5,175.33	\$ 5,175.33	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Underpayment of wages
American Canyon High School	Alcal Arcade Roofing	\$ 91.00	\$ 91.00	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Underpayment of wages
American Canyon High School	Drapery Concepts	\$ 40.59	\$ 40.59	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Misclassification
American Canyon High School	Alert Maintenance Systems	\$ 831.36	\$ 831.36	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Underpayment of wages

New Technology High School	Ivie's Drywall	\$ 13,872.45	\$ 13,872.45	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
New Technology High School	AGS	\$ 1,146.75	\$ 1,146.75	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
New Technology High School	Bay Cities Paving & Grading	\$ 661.20	\$ 661.20	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
New Technology High School	Bay Cities Fire Protection	\$ 182.00	\$ 182.00	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
New Technology High School	Superior Contracting	\$ 1,710.16	\$ 1,710.16	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
New Technology High School	David Wilson Construction	\$ 112.46	\$ 112.46	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
New Technology High School	CBS Plumbing & Heating	\$ 927.50	\$ 927.50	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
New Technology High School	KD Specialty Contracting	\$ 21.18	\$ 21.18	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
New Technology High School	JR Concrete Pumping	\$ 4,798.32	\$ 4,798.32	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
New Technology High School	SM Leslie Electric	\$ 576.92	\$ 576.92	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
Memorial Stadium Increment 2	Flintco	\$ 240.65	\$ 240.65	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
Memorial Stadium Increment 2	Complete Building & Office	\$ 1,155.18	\$ 1,155.18	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
Memorial Stadium Increment 2	LB Construction	\$ 202.50	\$ 202.50	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
Memorial Stadium Increment 2	SD Ochs Construction	\$ 553.56	\$ 553.56	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
Memorial Stadium Increment 2	R.E. Maher Concrete	\$ 2,640.32	\$ 2,640.32	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
Memorial Stadium Increment 2	FloorTech	\$ 2,800.53	\$ 2,800.53	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
Memorial Stadium Increment 2	RLM Enterprises	\$ 827.82	\$ 827.82	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
Memorial Stadium Increment 2	Shannon Masonry	\$ 474.15	\$ 474.15	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
Memorial Stadium Increment 2	Crusader Fence Company	\$ 447.42	\$ 447.42	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
Memorial Stadium Increment 2	Accurate Firestop & Insulation	\$ 214.88	\$ 214.88	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
Memorial Stadium Increment 2	ThyssenKrupp Elevators	\$ 87.84	\$ 87.84	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
Memorial Stadium Increment 2	Grass Valley Turf	\$ 575.22	\$ 575.22	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
Memorial Stadium Increment 2	Pacific Coast Steel	\$ 530.00	\$ 530.00	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Underpayment of wages
TOTAL		\$ 52,077.87	\$ 52,077.87			

C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain.

Project Name	Amount Assessed	Amount Recovered	Explanation
American Canyon High School	\$ 222.96	\$ 222.96	Unintentional misclassification, contractor promptly and voluntarily corrected.
American Canyon High School	\$ 389.50	\$ 389.50	Unintentional underpayment of wages; promptly and voluntarily corrected.
American Canyon High School	\$ 13.24	\$ 13.24	Unintentional underpayment of wages; promptly and voluntarily corrected.
American Canyon High School	\$ 50.54	\$ 50.54	Unintentional underpayment of wages; promptly and voluntarily corrected.
American Canyon High School	\$ 170.94	\$ 170.94	Unintentional underpayment of wages; promptly and voluntarily corrected.

American Canyon High School	\$ 22.57	\$ 22.57	Unintentional underpayment of wages; promptly and voluntarily corrected.
American Canyon High School	\$ 9,022.85	\$ 9,022.85	Unintentional underpayment of wages; promptly and voluntarily corrected.
American Canyon High School	\$ 482.00	\$ 482.00	Unintentional underpayment of wages; promptly and voluntarily corrected.
American Canyon High School	\$ 210.86	\$ 210.86	Unintentional underpayment of wages; promptly and voluntarily corrected.
American Canyon High School	\$ 500.00	\$ 500.00	Unintentional underpayment of wages; promptly and voluntarily corrected.
American Canyon High School	\$ 95.12	\$ 95.12	Unintentional underpayment of wages; promptly and voluntarily corrected.
American Canyon High School	\$ 5,175.33	\$ 5,175.33	Unintentional underpayment of wages; promptly and voluntarily corrected.
American Canyon High School	\$ 91.00	\$ 91.00	Unintentional underpayment of wages; promptly and voluntarily corrected.
American Canyon High School	\$ 40.59	\$ 40.59	Unintentional misclassification, contractor promptly and voluntarily corrected.
American Canyon High School	\$ 831.36	\$ 831.36	Unintentional underpayment of wages; promptly and voluntarily corrected.
New Technology High School	\$ 13,872.45	\$ 13,872.45	Bankrupt subcontractor; prime contractor promptly and voluntarily corrected.
New Technology High School	\$ 1,146.75	\$ 1,146.75	Unintentional underpayment of wages; promptly and voluntarily corrected.
New Technology High School	\$ 661.20	\$ 661.20	Unintentional underpayment of wages; promptly and voluntarily corrected.
New Technology High School	\$ 182.00	\$ 182.00	Unintentional underpayment of wages; promptly and voluntarily corrected.
New Technology High School	\$ 1,710.16	\$ 1,710.16	Unintentional underpayment of wages; promptly and voluntarily corrected.
New Technology High School	\$ 112.46	\$ 112.46	Unintentional underpayment of wages; promptly and voluntarily corrected.
New Technology High School	\$ 927.50	\$ 927.50	Unintentional underpayment of wages; promptly and voluntarily corrected.
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Memorial Stadium Increment 2	\$ 240.65	\$ 240.65	Unintentional underpayment of wages; promptly and voluntarily corrected.
Memorial Stadium Increment 2	\$ 1,155.18	\$ 1,155.18	Unintentional underpayment of wages; promptly and voluntarily corrected.
Memorial Stadium Increment 2	\$ 202.50	\$ 202.50	Unintentional underpayment of wages; promptly and voluntarily corrected.
Memorial Stadium Increment 2	\$ 553.56	\$ 553.56	Unintentional underpayment of wages; promptly and voluntarily corrected.
Memorial Stadium Increment 2	\$ 2,640.32	\$ 2,640.32	Unintentional underpayment of wages; promptly and voluntarily corrected.
Memorial Stadium Increment 2	\$ 2,800.53	\$ 2,800.53	Unintentional underpayment of wages; promptly and voluntarily corrected.
Memorial Stadium Increment 2	\$ 827.82	\$ 827.82	Unintentional underpayment of wages; promptly and voluntarily corrected.
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Memorial Stadium Increment 2	\$ 447.42	\$ 447.42	Unintentional underpayment of wages; promptly and voluntarily corrected.
Memorial Stadium Increment 2	\$ 214.88	\$ 214.88	Unintentional underpayment of wages; promptly and voluntarily corrected.
Memorial Stadium Increment 2	\$ 87.84	\$ 87.84	Unintentional underpayment of wages; promptly and voluntarily corrected.
Memorial Stadium Increment 2	\$ 575.22	\$ 575.22	Unintentional underpayment of wages; promptly and voluntarily corrected.
Memorial Stadium Increment 2	\$ 530.00	\$ 530.00	Unintentional underpayment of wages; promptly and voluntarily corrected.
TOTAL	\$ 52,077.87	\$ 52,077.87	

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

Project Name	Amount Assessed					Amount Recovered				
	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total	LC § 1776(g)	LC § 1775	LC § 1813	Wages	Total
NONE										
TOTAL										

E. Identify cases that are or were the subject of LC § 1742 proceedings.

Project Name	Contractor	Nature of Violation	ODL Case #	Current Status
NONE				

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one: ☐ Yes ☒ No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one: ☐ Yes ☒ No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____